

Changes to Adviser's Charges

Throughout this form, words or phrases which are shown in 'bold italics' are explained in the separate Glossary which you should refer to when completing this form.

Please complete this form within 30 days of any changes being made to your adviser's charges or the method of paying the charges.

Full name:

SIPP number:

Name of financial adviser:

I authorise the payment of my adviser's charges from my **Barnett Waddingham Flexible SIPP** assets by:
(TICK ONE BOX ONLY)

the **operator** OR the investment provider I have appointed from the **panel**
(please check with your adviser whether your **panel** investment provider will permit this)

Basis of adviser's charges

a. Initial charge

Option one

Fixed amount of £ (excluding VAT) Is this subject to VAT? Yes No

OR

Option two

Percentage of each transfer payment received: % (excluding VAT)

Percentage of each gross contribution received: % (Single) (excluding VAT) % (Regular) (excluding VAT)

Is this subject to VAT? Yes No

b. Ongoing charge

Option one

Fixed amount of £ each year (excluding VAT) Is this subject to VAT? Yes No

OR

Option two

Percentage of your **Barnett Waddingham Flexible SIPP** *value each year: % (excluding VAT)

Is this subject to VAT? Yes No

* Note: If the charges are being paid by the **operator**, the value will be based on all of the assets in your **Barnett Waddingham Flexible SIPP**. If the charges are being paid by your **panel** investment provider, the value will be based on the funds under management with your **panel** investment provider.

Additional ad hoc charges

If your adviser may submit invoices for ad hoc charges please tick this box:

By signing below I confirm:

1. my authorisation of the payment of my adviser's charges from my **Barnett Waddingham Flexible SIPP** assets as detailed above;
2. that I will provide the **operator** with a copy of my adviser charging agreement and any amendments within ten **business days** of a written request;
3. that I will give the **operator** 30 days' notice of any change to my adviser's charges by completing a Changes to Adviser's Charges Form and sending this to the **operator** (and I understand that any change will not be implemented until this has been done); and
4. if I have authorised payment of my adviser's charges by my **panel** investment provider, my authorisation for the **operator** to provide my **panel** investment provider with a copy of my adviser charging agreement, a copy of this authorisation and a copy of any subsequent amendments to either.

 Signed: Date: